

INFINOX

CORPORATE APPLICATION

When completing this Corporate application form, please ensure that you have obtained and read the important information regarding the products and services provided by INFINOX Capital Ltd ("INFINOX") and all relevant policies and agreements made available, and updated from time to time, on our website www.infinox.com

Once completed, please email the completed form or a scanned copy to: accounts@infinox.com

Alternatively, please send the completed form to Infinox Capital Ltd at the following address:
Infinox Capital Ltd, Birchin Court 20 Birchin Lane, London, EC3V 9DU

If you require assistance with the completion of this form, please contact us on: **020 3713 4490**

Your account will be contracted to INFINOX. The provider of the services is INFINOX and any resulting contract is between you and INFINOX.

SECTION 1 COMPANY DETAILS

Name of Company

Registered Address

Business Address (if different)

Name of Director(s)

Legal Entity Identifier (LEI)

An LEI is a code unique to that legal entity or structure. All legal entities and structures can obtain an LEI code and is included in a global data system. In accordance with the European Markets Infrastructure Regulation (EMIR), all legal entities and structures operating in the EEA entering into derivative transactions are required to have a valid LEI code in order to comply with the reporting obligations.

Incorporation Date

Nature of Business

Contact Name

Contact Phone (within business hours)

Contact Phone (outside business hours)

Contact Email

SECTION 2 DIRECTORS

Please provide details of all company directors. If there are more than 2 directors, please duplicate this page and complete.

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

SECTION 3 BENEFICIAL OWNERS

Please provide details of all beneficial owners of the company. **This will consist of Shareholders who hold 25% of more shares in the company.**

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

Current Residential Address

Email Address

Date of Birth

Nationality

Do you hold multiple nationalities? (please specify)

Countries of Residence (for tax purposes, list all)

National Identifier

SECTION 4 BANK DETAILS

Name of Bank or Building Society

Account Name

Address of Bank or Building Society

Account Number / IBAN

Sort Code / BIC / SWIFT

SECTION 5 AUTHORISED TRADER INFORMATION

As a regulated firm, we need to ask you about your trading experience and knowledge of investment products.

Title Mr Mrs Ms Dr

Other (please specify)

Full Name

How many years trading experience of CFDs / Spread Bets / Forex / other leveraged products does the Company have?

- None
- Less than 1 year
- 1-2 years
- 2-3 years
- More than 3 years

Please indicate the Company's average funds per trade in your local currency over the last 12 months

- 0-50
- 51-100
- More than 100
- I have not traded these products

What are the Company's trading objectives

- Speculation
- Hedging
- Capital Preservation

If Capital Preservation

- Controlled Risk - My trading objective is to preserve the value of my capital in real terms. In achieving my trading objective, I am willing to accept the risk of loss to my capital, but I will seek to minimise losses by applying the risk controls available to me, including stop loss and take profit orders.
- No Risk - My trading objective is to preserve the value of my capital and I am not willing to accept any risk to my capital.

Do the officer(s) of the Company who will be making the trading decisions have good knowledge and understanding of trading derivatives and the relevant risks, particularly leveraged trading due to:

- Yes, from holding a professional and/or educational qualification relevant to the type of trading
- Yes, from working in financial services for 1 year in the last 3 years or as an experience self-directed investor
- None of the above

Over the past three years, how frequently have you traded the following products where you have made your own trade decisions using your capital?

CFDs / Spread Bets / Forex

- More than 20
- 11-20
- Less than 10
- Never

Shares / Bonds

- More than 20
- 11-20
- Less than 10
- Never

Other Leverage Products (e.g. exchange traded derivatives)

- More than 20
- 11-20
- Less than 10
- Never

Currency to trade with

- GBP
- USD
- EUR

Approximate amount to trade with

Does the Company understand the nature of risks or margin (geared) products?

- Yes No

Where did you hear about us?

SECTION 6 ACKNOWLEDGEMENTS FOR DIRECTORS OF COMPANY

By **all** directors signing this application you declare:

- 1. Acceptance of our terms and conditions**
 - a. To have read and understood the Client Agreement (including the Risk Disclosure Statement), the Conflict of Interest Statement, Market Abuse Statement, Terms of Use, the Commissions, Charges & Margin Schedule and all of their contents;
 - b. To understand and accept that the Client Agreement (including the Risk Disclosure Statement), the Conflict of Interest statement, Market Abuse Statement, Terms of Use, the Commissions, Charges & Margin Schedule and all of their contents apply to the entire trading relationship with Infinox;

- 2. Acknowledge and agree that personal information submitted to INFINOX:**
 - a. May be shared with any duly licensed financial entity within the INFINOX group in accordance with the Data Protection and Security Act on Processing of Personal Data;
 - b. May be used to undertake a search with a third party authentication service provider for the purposes of verifying identities. To do so the third party authentication service provider may check the details supplied against any particulars on any database (public or otherwise) to which they have access, and may also use details in the future to assist other companies' verification purposes. A record of the search will be retained;

- 3. Furthermore by acceptance of these Terms and Conditions you confirm:**
 - a. That the information provided in this form is correct and acknowledge that you are obliged to inform INFINOX immediately in case of any changes to this Information;
 - b. That the investment amount has been chosen taking the total financial circumstances into consideration and is considered reasonable under such circumstances;
 - c. You acknowledge that INFINOX does not have and will not provide any investment advice on transactions as this service is through an Execution Only trading platform;
 - d. You declare and confirm acceptance of these Terms and Conditions, that you have read, understood and fully agree to the Terms and Conditions outlined above (as amended from time to time);
 - e. You understand that Contracts are leveraged products. This means a small movement in the market can lead to a much larger movement in the value of your investment. This can work against you as well as for you. You acknowledge that you could sustain a loss of all the margin that you have deposited with us.

DIRECTOR'S CERTIFICATION

Where the applicant is a company or an unincorporated association or body.

I the undersigned, am a director of the applicant and certify to INFINOX that:

1. The board of directors of the applicant ("Board") has passed a resolution approving the application for an Account with INFINOX and the entry into Contracts contemplated by this Application Form and the Client Agreement (collectively "Documents");
2. I have made due enquiry of all the applicant's other directors. On the basis of these enquiries, to the extent that any director of the applicant has an interest in the Contracts, all disclosures relating to such interests that are required by law have been made;
3. The Board has passed a resolution to authorise one or more directors to enter into all Contracts contemplated by the Documents on behalf of the applicant. This resolution has been made on the understanding that, by executing the Application Form, the applicant authorises and directs INFINOX to act on all instructions (including Orders) purporting to be issued by the applicant on the INFINOX trading platform or otherwise in accordance with the Client Agreement, and to assume, with no further enquiry, that the applicant has authorised such instruction;
4. In approving the Documents and the Contracts, and in authorising one or more directors to enter into Contracts on behalf of the applicant, the Board, after taking into account all relevant factors and having made due enquiry:
 - a. Considers that the applicant is receiving and will receive fair value under the Documents and Contracts;
 - b. Has resolved that the applicant's entry into and performance of obligations under the Documents and the Contracts is in the best interests of the applicant; and
 - c. Is of the view that the applicant is able to pay its debts as they become due, is not engaged or about to engage in business for which its financial resources are unreasonably small and is able to perform its obligations under the Documents and the Contracts when required to do so;
 - d. This Application Form has been properly executed by the applicant;
 - e. I am not aware of any liquidation proceedings which have been commenced or are intended to be commenced by any person against the applicant or which are intended or anticipated by the applicant; and
 - f. No consents are required by the applicant for entry into, execution or performance of the Contracts or the Documents and the execution and delivery of the Documents and performance of the Contracts will not cause the applicant to be in breach of any obligation or law affecting it;
 - g. Each of the applicant's directors has reviewed and considered the acknowledgements in Section 6 of this Application Form, and each of the applicants directors has authorised the signing of the Application Form on behalf of the applicant.

SIGNATURES OF ALL DIRECTORS

Please repeat for all company directors. If there are more than 6 directors, please duplicate this page and complete.

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

Title Mr Mrs Ms Dr

Full Name

I agree to the previous statements

Date

Signature

SECTION 7 DOCUMENTATION REQUIREMENTS

Please provide the following documentation with Company's completed account application form.

1. Copy of the Certificate of Incorporation
2. Proof of registered address
3. Proof of business address if different to registered address
4. Copy of Shareholders register
5. Copy of Directors register
6. Proof of identity of all directors & shareholders owning more than 25% (i.e. colour copy of non-expired driver's licence or passport)
7. Directors & shareholders utility bill / bank statement (not more than 3 months old)
8. Articles of Association
9. Memorandum of Association