

# INFINOX

# APPLICATION FORM

# FOR SSAS CLIENTS

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When completing this SSAS application form, please ensure that you have obtained and read the important information regarding the products and services provided by Infinox Capital Ltd (“INFINOX”) and all relevant policies and agreements made available, and updated from time to time, on our website [www.infinox.com](http://www.infinox.com).

Once completed please email a scanned copy of the completed form to [accounts@infinox.com](mailto:accounts@infinox.com). Alternatively please send the completed form to Infinox Capital Ltd at the following address: [Infinox Capital Ltd, Birchin Court 20 Birchin Lane, London, EC3V 9DU](#).

PLEASE COMPLETE THE FORM IN BLOCK LETTERS AND BLACK OR BLUE INK.  
ALL ITEMS MARKED WITH \* MUST BE PROVIDED.

If you require assistance with the completion of this form, please contact us on [020 3713 4490](tel:02037134490).

Your account will be contracted to INFINOX . The provider of the services is INFINOX and any resulting contract is between you and INFINOX.

INFINOX CAPITAL Ltd is a company registered in England and Wales under number 06854853.  
Registered Office: Birchin Court 20 Birchin Lane,  
London, EC3V 9DU, United Kingdom

INFINOX CAPITAL Ltd is authorised and regulated by the Financial Conduct Authority under firm reference number 501057.

## INSTRUCTIONS FOR COMPLETION

This is an application form to open an account with Infinox Capital Ltd ("INFINOX") to trade on the INFINOX trading platform(s) using funds held within a SSAS (Small Self-Administered Scheme). Where appropriate, the SSAS Trustee(s) must complete and sign the relevant parts of this form.

Where the SSAS Trustee(s) has appointed an Asset Manager, the SSAS Trustee may grant Limited Power of Attorney to the Asset Manager in accordance with INFINOX's standard Limited Power of Attorney form.

Before completing this application form, please make sure you have read and understood all information regarding the products and services offered by INFINOX and all relevant terms including the INFINOX Client Agreement, the Risk Warning Notice, the INFINOX Best Execution Policy. This information is made available, and updated from time to time, on our website [www.infinox.com](http://www.infinox.com).

Please complete all information as accurately as possible in block letters with a black or blue pen. To comply with money laundering regulations, the SSAS Trustee(s) is required to submit the following documentation along with the completed form. INFINOX also reserves the right to request further documentation at any time during the approval process.

## SSAS TRUSTEE – DUE DILIGENCE DOCUMENTATION REQUIREMENTS

A colour copy of your valid and signed passport (machine readable) and proof of residency, e.g. a bank statement or utility bill containing your physical residential address (e.g. gas, water, electricity, land line phone, internet, cable TV connections) issued in your name within the previous 3 months.

### Legal Entity Identifier (LEI)

In accordance with the European Markets Infrastructure Regulation (EMIR) all corporate entities operating within the EEA entering into derivative transactions are required to have a valid LEI code in order to comply with the EMIR reporting obligations.

Please note that if the scheme has a Corporate Trustee, the Corporate Trustee will be required to provide a valid LEI code as they will be the counterparty to any trading entered into on behalf of the scheme. In addition to a Corporate Trustee, where the SSAS Scheme is established by a Trust Deed, and which represents a number of beneficiaries or members, the Trust will be required to provide its own valid LEI code.

In the event that either the Corporate Trustee and / or the Trust do not provide a valid LEI code any trading account opened will not be permitted to enter into derivative transactions.

### Issuers of LEI Codes

LEI codes are issued by EU endorsed Local Operating Units (LOUs). A list of endorsed LOUs is available at: [www.leiroc.org/publications/gls/lou\\_20131003\\_2.pdf](http://www.leiroc.org/publications/gls/lou_20131003_2.pdf)

Once completed please email a scanned copy of the completed form and supporting documentation to [accounts@infinox.com](mailto:accounts@infinox.com). Alternatively please send the completed form to Infinox Capital Ltd at the following address: Infinox Capital Ltd, Birchin Court 20 Birchin Lane, London, EC3V 9DU

If you require assistance with the completion of this form, please contact us on 020 3713 4490.

# INFINOX APPLICATION FORM FOR SSAS CLIENTS

IN ORDER FOR THE APPLICATION TO BE PROCESSED PROMPTLY, PLEASE COMPLETE ALL APPLICABLE SECTIONS

## PART 1 SCHEME NAME

Scheme Name

Email Address

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>

Phone Number

HMRC ref

Legal Entity Identifier Code (refer page 2)

SSAS Plan ref

## PART 2 SCHEME BANK ACCOUNT DETAILS

Bank Name

Account Name

Address of Bank or Building Society

<input type="text"/>
<input type="text"/>
<input type="text"/>

Account Number

Sort Code

<input type="text"/>	<input type="text"/>	<input type="text"/>
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## PART 3 EMPLOYER DETAILS

Company Name

Company Registration Number

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>

FCA Firm Number (if applicable)

## PART 4 PERMITTED INVESTMENTS

Please indicate which of the following investment types the SSAS Trustee is permitted to trade under the terms of the Trust Deed

FX    CFDs    Futures

Please provide the following Small Self-Administered Scheme (SSAS) account application documentation with your application form (all documents must be as true copies of the originals):

1. Trust Deed

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2. HM Revenue & Customs (HMRC) Notification of Registration

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3. SSAS Review Report

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4. Scheme Rules

PLEASE SUPPLY DETAILS BELOW OF ALL TRUSTEES

## PART 5

## PROFESSIONAL TRUSTEE DETAILS (if applicable)

Company Name

Email Address

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>

Phone Number

Company Refistration Number

Legal Entity Identifier Code (refer page 2)

FCA Firm Number (if applicable)

### IF PROFESSIONAL TRUSTEE IS AN INDIVIDUAL, PLEASE COMPLETE BELOW:

Full Name (as shown on passport/ID)

National Insurance Number

Date of Birth

DD	MM	YYYY
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Passport no. / Identification no.

City & Country of Birth

Nationality

Current Residential Address

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Gender

The professional individual trustee is required to apply online for an INFINOX trading account:  
<https://infinox.com/en/register>

### Please also provide the following supporting documentation

A valid, full, coloured photo ID Document: This could be a Passport, ID Card or Driving Licence (front & back)

1 proof of address document: A clear full copy of a bank statement or utility bill containing your physical address (e.g. gas, water, electricity, land line phone, internet, cable TV connections), issued in your name within the previous 3 months. We cannot accept mobile phone bills.

## PART 6

## DOCUMENTATION REQUIREMENTS

Please provide the following documentation with your application form  
(all documents must be notarized / certified as true copies of the originals):

1. This application form signed in all appropriate places

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2. Copy of Certificate of Incorporation

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3. Proof of registered trading address of the company

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4. Proof of identity of all directors

(i.e. colour copy of non-expired driver's licence or passport)

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5. Directors utility bill / bank statement

(not more than 3 months old)

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6. Articles of Association

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7. Memorandum of Association

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8. List of shareholders

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9. The Director of the professional trustee is required to apply online for  
an INFINOX trading account: <https://infinox.com/en/register>

## PART 8

### INITIAL FUNDING AND CHOICE OF ACCOUNT CURRENCY

Expected initial funding amount

Choice of account/reporting currency\*

\*If not complete the account currency will default to GBP

## PART 9

### INVESTMENT ADVISOR DETAILS (where the scheme has appointed such an advisor)

Name

Email Address

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>

Phone Number

Company Registration Number

FCA Firm Number (if applicable)

## PART 10 SSAS Trustee(s) Signature & Declarations

### Data Protection

To comply with the Money Laundering Regulations, INFINOX is required to collect information about you and to undertake checks on each applicant's identity and residential address, including accessing and using information held in database or other electronic format through other agencies. In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. INFINOX may also request further supporting documentation from each applicant to verify these details. The information may be disclosed to law enforcement agencies and other relevant agencies for crime detection and prevention purposes. Furthermore, in order to provide the services to you, INFINOX may exchange information or share the personal data of each applicant with the Investment Advisor, Employer and any Administrator of the Trust/Investment Wrapper. INFINOX may occasionally contact the applicant(s) by email, telephone or post to give information about products and services offered that are similar or related to the product and services provided or previously provided.

If the applicants do not consent to the use of their personal data in this way, we are unable to consider the application. By submitting the completed application form to us, the applicants jointly consent to the use of their information as indicated.

#### We declare by our signature that:

- We have read and understood the nature of the product(s) that we (or our Investment Advisor) intend to trade in this account;
- We are unaware of any health or other conditions that may affect the proper operation of the joint account;
- We have provided true, accurate and complete information and undertake to update INFINOX of any changes to the information provided without delay;
- We have obtained from the website [www.infinox.com](http://www.infinox.com), read, understood and agree to the products and services offered by INFINOX and all relevant terms including the INFINOX Client Agreement, the Risk Warning Notice, the INFINOX Best Execution Policy. This information is made available, and updated from time to time, on our website [www.infinox.com](http://www.infinox.com).
- All the trustees and authorised signatories of the Scheme are over 18 years of age;
- We consent to any unexecuted limited orders not to be made public;
- We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these being provided to me by way of posting on the website indicated above;
- We acknowledge that we recognise and understand the restrictions placed by INFINOX on the trading of funds in terms of the products that can be traded and the reduced leverage that can apply to these products. These restrictions may be additional to those imposed by the relevant legislation;
- We have been adequately informed of the legal restrictions which apply to the trading of funds in relation to SSAS.

#### Furthermore, we confirm:

- That the investment amount has been chosen by us taking our financial circumstances into consideration and is considered reasonable by us under such circumstances;
- That INFINOX is entitled to send newsletters or other investment material to us by e-mail, sms or similar electronic messaging services, and that we must inform INFINOX in writing or otherwise, if we do not wish to receive such material.

Professional Trustee's Name

Signature

Date

DD	MM	YYYY
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## For bank transfers please use the following information:

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**Beneficiary:** Infinox Capital Limited Client Trust Account  
**Beneficiary Address:** Birchyn Court, 20 Birchyn Lane. London. EC3V 9DU. United Kingdom  
**Bank:** Barclays  
**Bank Address:** 1 Churchill Place, London, E14 5HP

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ACCOUNT CURRENCY    GBP  
SORT CODE            200000  
ACCOUNT NUMBER    23349802  
SWIFT                 BARCGB22  
IBAN                    GB17 BARC 2000 0023 3498 02

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REFERENCE            YOUR INFINOX ACCOUNT LOGIN \*

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\* You should have received these details in your original account opening email. If you have misplaced this email or need a refresher, simply email

### Bank Transfer

A Bank transfer is an electronic transfer of money between accounts at different financial institutions. These are fast, highly secure and the preferred option by many investors. For all the options see below. You will need to contact your financial institution and ask them to wire funds to INFINOX or transfer it online via your online Banking.

To fund your account via debit card please log-in to our client area at <https://infinox.com/uk/account-page/>